

PATENT  
Docket No. 5000-4810 X3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : 09/690,420

Filed : October 17, 2000

For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

Attn.: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

A review of the Filing Receipt received in connection with the above-identified application (copy enclosed with the changes noted thereon) shows two errors. Specifically:

1. Under **Foreign Applications** please insert:

11-294783	JAPAN	10/18/1999
11-294784	JAPAN	10/18/1999
11-294796	JAPAN	10/18/1999
11-294797	JAPAN	10/18/1999
11/294795	JAPAN	10/18/1999
2000-239819	JAPAN	8/8/2000

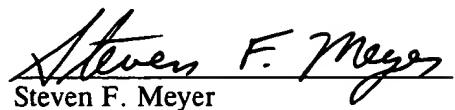
2. Under **Applicant(s)** for the **residence** of each inventor, please insert:

Kariya-shi, Aichi-ken, JAPAN

It is respectfully requested that a Corrected Filing Receipt be issued.

Respectfully submitted,

Dated: February 6, 2001

  
Steven F. Meyer  
Registration No. 35,613

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 (Facsimile)

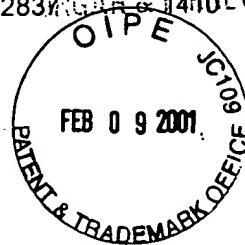
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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www.uspto.gov

2000 DEC 14 P 1:01

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/690,420	10/17/2000	2837NGAN & 14K0EGAT	5000-4810		30	50	5

Steven F Meyer  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154



## FILING RECEIPT



\*OC000000005599839\*

Date Mailed: 12/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kazuo Ishikawa, Residence Not Provided, *Kariya-shi, Aichi-ken, JAPAN*  
Hiroyuki Taniguchi, Residence Not Provided, *Kariya-shi, Aichi-ken, JAPAN*  
Hideaki Shima, Residence Not Provided, *Kariya-shi, Aichi-ken, JAPAN*

## Continuing Data as Claimed by Applicant

Foreign Applications 11-294783, JAPAN, 10/18/1999; 11-294784, 10/18/1999;  
11-294794, JAPAN, 10-18-99; 11-294797, JAPAN, 10/18/1999; 11-294795, JAPAN, 10/18/1999;  
If Required, Foreign Filing License Granted 12/05/2000 2000-239819, JAPAN, 8/8/2000

## Title

Driving control apparatus for industrial vehicle

## Preliminary Class

318

Data entry by : ZIMMERMAN, SHAUNA

Team : OIPE

Date: 12/06/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





SECTOR

Docket No. 5000-4810

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : 09/690,420

Filed : October 17, 2000

For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

BOX: MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

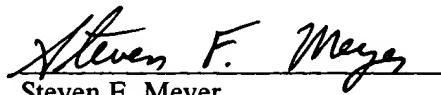
Sir:

I hereby certify that the attached

(1) Response to File Missing Parts of Application (along with any paper(s) referred to as being attached or enclosed); (2) Notice to File Missing Parts - Copy to be Returned with Response; (3) Check in the amount of \$130.00 (4) Executed Declaration and Power of Attorney; (5) Request for Corrected Filing Receipt (6) Corrected Filing Receipt with the changes noted thereon in red (7) Return postcard; with this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: **Box Missing Parts, COMMISSIONER FOR PATENTS, Washington, D.C. 20231.**

Respectfully submitted,

Dated: February 6, 2001

  
Steven F. Meyer  
Registration No. 35,613

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 (Facsimile)



Docket No. 5000-4810

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and  
Hideaki SHIMA

Serial No : 09/690,420

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For : DRIVING CONTROL APPARATUS FOR INDUSTRIAL VEHICLE

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

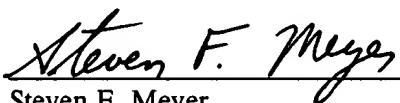
Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b), Filing Date Granted mailed December 6, 2000, a copy of which is enclosed herewith. Enclosed are the following:

1. A Declaration and Power of Attorney executed by the inventors, Kazuo ISHIKAWA, Hiroyuki TANIGUCHI, and Hideaki SHIMA.
2. The required fee of \$130.00.

Respectfully submitted,

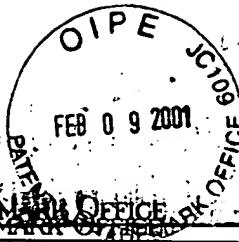
Dated: February 6, 2001

  
Steven F. Meyer  
Registration No. 35,613

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UNITED STATES PATENT AND TRADEMARK OFFICE



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UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231  
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H3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/690,420	10/17/2000	Kazuo Ishikawa	5000-4810

Steven F Meyer  
Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154

### FORMALITIES LETTER



\*OC00000005599840\*

Date Mailed: 12/06/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2001 AGOITIM 00000051 09690420

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